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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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DATE MAILED: 09/09/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/843,682

Applicant(s)

PORTER ET AL.

Examiner

Charles E. Anya

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 6/3/05.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-23 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

**DETAILED ACTION**

1. Claims 1-23 are pending in this application.

***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. **Claims 1-5 and 20-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Pat. No. 6,604,104 B1 to Smith in view of U.S. Pat. No. 6,850,947 B1 to Chung et al.**

4. As to claim 1, Smith teaches a method for delivering information within a computing environment (figures 1/4), comprising: a) extracting information from an information source (Step 50 Col. 5 Ln. 9 – 25); b) transforming the extracted information (Step 56 Col. 5 Ln. 44 – 51); c) wrapping the transformed information into a message envelope having a standard format (“...envelopes...” Col. 7 Ln. 15 – 27); d) routing the message envelope to at least one information target (Col. 3 Ln. 49 – 62, figure 4 (Message Queue 200) Col. 7 Ln. 15 – 27, figure 9 Col. 9 Ln. 27 – 39); e) unwrapping the message envelope to reveal the information received/f) mapping the received information to a format required by the information target (“Data mapping...” Col. 4 Ln.

19 – 44, Col. 7 Ln. 46 – 67); g) transforming the received information; and h) loading the received information into the information target (“...load...” Col. 4 Ln. 33 – 44), wherein the extracting, transforming and wrapping steps (a)-(c), respectively, are isolated from the routing step (d) (Message Queue 200) Col. 7 Ln. 15 – 27) and wherein the routing, unwrapping a mapping, transforming and loading steps (d)-(h), respectively, are repeated for each of a plurality of message envelopes (Col. 3 Ln. 49 – 62).

5. Smith is silent with reference to the extracting, transforming, and wrapping steps being executed simultaneously for a plurality of information sources distributed across the computing environment to produce a plurality of message envelopes.

6. Chung teaches such that the extracting, transforming, and wrapping steps may be executed simultaneously for a plurality of information sources distributed across the computing environment to produce a plurality of message envelopes (figure 5 Col. 11 – 22).

7. It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Chung and Smith because the teaching of Chung would improve the system of Smith by providing a data transport process architecture the uses multiple partitions of source data and multiple pipelines for achieving improved throughput for extraction, transformation, and loading in data warehouse application. (Chung Col. 2 Ln. 40 – 46).

8. As to claim 2, Smith teaches the method of claim 1, wherein the information is pulled from the source during the extracting step (a) (“...pull...” Col. 6 Ln. 62 – 67).

9. As to claim 3, Smith teaches the method of claim 1, wherein the information is pushed from the source during the extracting step (a) ("...push..." Col. 6 Ln. 62 – 67).

10. As to claim 4, Smith teaches the method of claim 1, wherein the information extracted during step (a) comprises content changes to the source information at the time step (a) is performed as compared to the source information at a previous point in time (Col. 5 Ln. 8 – 25).

11. As to claim 5, Smith teaches the method of claim 1, wherein transforming the extracted information during step (b) further comprising applying one or more business rules to modify the extracted information (Col. 11 Ln. 30 – 36).

12. As to claim 20, Smith teaches the method of claim 1, wherein the information target comprises a data warehouse and a data mart (Col. 8 Ln. 37 – 38).

13. As to claim 21, Chung teaches the method of claim 1, wherein the method for delivering information is executed on a plurality of computing platforms within the computing environment (Col. 3 Ln. 29 – 34).

14. As to claim 22, Chung teaches the method of claim 21, wherein the plurality of computing platform comprises information domains for an enterprise (figure 2).

15. As to claim 23, see the rejection of claim 1.

**16. Claims 6,7,9-16 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Pat. No. 6,604,104 B1 to Smith in view of U.S. Pat. No. 6,850,947 B1 to Chung et al. as applied to claim 1 above, and further in view of U.S. Pat. No. 6,714,979 B1 to Brandt et al.**

17. As to claim 6, Smith and Chung are silent with reference to the method of claim 1, wherein the message envelope further comprises an identification of the information source, a content definition identification and the content of the transformed information.

18. Brandt teaches to the method of claim 1, wherein the message envelope further comprises an identification of the information source, a content definition identification and the content of the transformed information (figure 10 Col. 20 Ln. 10 – 34).

19. It would have been obvious to one of ordinary skill in art at the time the invention was made to combine the teachings of Brandt, Chung and Smith because the teaching of Brandt would improve the system of Chung, Smith by providing a data warehouse infrastructure for a web/internet based reporting system that provides incremental, daily updates to data and allows users to report on either daily or monthly data basis (Brandt Col. 4 Ln. 24 – 28).

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20. As to claim 7, Brandt teaches the method of claim 6, wherein the content definition identification is used to retrieve the content definition from a metadata repository (figure 10 Col. 20 Ln. 10 – 34).

21. As to claim 9, Smith teaches the method of claim 6, further comprising placing the message envelope into an inbox queue to a router component for routing according to step (d) (Col. 3 Ln. 49 – 62, figure 4 (Message Queue 200) Col. 7 Ln. 15 – 27, figure 9 Col. 9 Ln. 27 – 39).

22. As to claim 10, Smith teaches the method of claim 9, wherein the information sources publish the message envelope to the inbox queue and the router component subscribes to the inbox queue (Col. 3 Ln. 49 – 62, figure 4 (Message Queue 200) Col. 7 Ln. 15 – 27, figure 9 Col. 9 Ln. 27 – 39).

23. As to claim 11, Smith is does not explicitly teach the method of claim 10, further comprising retrieving the message envelope from the inbox queue, looking up the address of the information target in a cross-reference table, and transmitting the message envelope to the information target, however the message queue 200 inherently lookup and find the address of the target system since the message is successfully delivered to the target.

24. As to claims 12 and 13, see the rejection of claim 11 above.

25. As to claim 14, Smith teaches the method of claim 11, wherein transmitting the message envelope comprises placing the message envelope into an information target queue (Col. 3 Ln. 49 – 62, figure 4 (Message Queue 200) Col. 7 Ln. 15 – 27, figure 9 Col. 9 Ln. 27 – 39).

26. As to claim 15, Smith teaches the method of claim 14, wherein the router component publishes the message envelope to the outbox queue and the information target subscribes to the outbox queue (Col. 3 Ln. 49 – 62, figure 4 (Message Queue 200) Col. 7 Ln. 15 – 27, figure 9 Col. 9 Ln. 27 – 39).

27. As to claim 16, Smith teaches the method of claim 15, wherein the message envelope is retrieved from the outbox queue prior to unwrapping the message envelope (Col. 3 Ln. 49 – 62).

28. As to claim 19, Brandt teaches the method of claim 1, further comprising after unwrapping the message envelope, aggregating a plurality of transformed information and loading the aggregation of transformed information into the information target as a batch (Col. 24 Ln. 1 – 7).

**29. Claim 18 is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Pat. No. 6,604,104 B1 to Smith in view of U.S. Pat. No. 6,850,947 B1 to Chung et al.**



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**as applied to claim 1 above, and further in view of E.P.O. No. 1,016,989 A2 to Yee et al.**

30. As to claim 18, Smith and Chung are silent with reference to the method of claim 1, further comprising: after unwrapping the message envelope, filtering the transformed information prior to loading the transformed information.

31. Yee teaches the method of claim 1, further comprising after unwrapping the message envelope, filtering the transformed information prior to loading the transformed information (page 16 paragraph 0188-0196).

32. It would have been obvious to one of ordinal skill in the art the time the invention was made to combine the teachings of Yee, Chung and Smith because the teaching of Yee would have improved the system of Chung and Smith by providing a means for extracting data, constructing messages from the extracted data and sending/propagating the messages to integration objects (Yee page 14 paragraph 0170).

**33. Claims 8 and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Pat. No. 6,604,104 B1 to Smith in view of U.S. Pat. No. 6,850,947 B1 to Chung et al. as applied to claim 6 above, and further in view of U.S. Pat. No. 6,714,979 B1 to Brandt et al. and further in view of E.P.O. No. 1,016,989 A2 to Yee et al.**

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34. As to claim 8, Smith and Chung are silent with reference to the method of claim 6, wherein wrapping the message envelope further comprising retrieving content definition from a metadata repository and applying the content definition to the transformed information to produce a message envelope.

35. Yee teaches the method of claim 6, wherein wrapping the message envelope further comprising retrieving content definition from a metadata repository and applying the content definition to the transformed information to produce a message envelope (page 14 paragraph 0170).

36. It would have been obvious to one of ordinary skill in the art the time the invention was made to combine the teachings of Yee, Brandt, Chung and Smith because the teaching of Yee would have improved the system of Brandt, Chung and Smith by providing a means for extracting data, constructing messages from the extracted data and sending/propagating the messages to integration objects (Yee page 14 paragraph 0170).

37. As to claim 17, Yee teaches the method of claim 8, wherein unwrapping the message envelope further comprising retrieving content definition from the metadata repository and applying the content definition to the message envelope to reveal the transformed information (page 14 paragraph 0171).

***Response to Arguments***

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38. Applicant's arguments with respect to claims 1-23 have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Charles E. Anya whose telephone number is (571) 272-3757. The examiner can normally be reached on M-F (8:30-6:00) First Friday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, An Meng-Ai can be reached on (571) 272-3756. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Charles E Anya  
Examiner  
Art Unit 2194

cea.

  
MENG-AI T. AN  
SUPERVISORY PATENT EXAMINER  
TECHNICAL CENTER 2194